



HCL GRC **IT AUDIT &** **ASSURANCE** SERVICES

OVERVIEW

The immense progress made in information and communications technology offers enterprises outstanding benefits. However this also results in making the risk landscape more complex, which the top management must cope with this while addressing regulators at the same time. Apart from these, enterprises have to cope with the rising cost of IT audit and assurance programs caused by the increase in the complexity of the regulatory landscape. A successful audit service will give management an objective assessment of the risks they are facing and how well it is being managed. It will receive recognition for the value that it adds by constructive, timely advice to management as well as positive assurances on control.

HCL's Governance Risk & Compliance (GRC) Practice, offers a complete range of IT Audit & Assurance solutions for all organizations, tailored to their size, culture, business sector, geographical spread and regulatory requirements. Our audit service focuses on delivering the assurances you need in a way that your business can become more efficient.

CHALLENGES FOR ENTERPRISES

- Enterprises need to optimise their ROI from the IT audit and assurance program
- Enterprises require specialist internal IT audit services on an ongoing basis and face shortage of skill in their internal staff
- Enterprises require specialist independent IT or security control review support. Vast spread of discrete IT controls for Vendor, third party & outsourcing risk.
- Enterprises need to ensure that their IT control environment must comply with specific legislation or compliance standards
- Emerging Technology & Infrastructure changes: transformation, innovation, disruption
- Enterprises have changed a critical system or acquired/merged with another organisation and require assurance that appropriate controls are in place



HCL IT AUDIT & ASSURANCE SERVICE PORTFOLIO

Irrespective of what an enterprise is looking for, an established internal audit department, or an Assurance function or a bought-in solution. Our experienced risk & assurance specialists in risk management, audit strategy, audit delivery and the provision of software can help enterprises enhance the effectiveness of control systems, allowing them to concentrate on the main objectives of their business.

HCL's Governance Risk and Compliance (GRC) Services has a dedicated Centre of Excellence which provides a wide range of Advisory & Technology services in IT Audit management and Assurance domain across geographies and industry sectors. The portfolio of our services is as below:

IT AUDIT & ASSURANCE PROGRAM MANAGEMENT



- Audit Planning

- Audit Execution

- Task Management

- Workpaper Management

- Audit Reporting

INFORMATION SECURITY AUDIT & ASSURANCE

- ISO 27001:2013

- ISF Standards of Good Practice

- NIST, ITIL

- Vulnerability Assessment & Penetration Testing

- SoD & IAM Control assurance



Forensics & Cyber Security Audits

Cloud Control Audits & Assurance

Data Centre Audits

IT Service Continuity & DR audits

IT REGULATORY & COMPLIANCES

Sarbanes-Oxley ITGCs

GAIT

CERT Octave

Data Privacy Control Audits

SSAE 16/ISAE 3401 Readiness

HIPAA Technical Controls

FDA CFR Part 11

GxP & Qualification Audits & Assurance for IT Controls

MAS IBTRM

PCI-DSS

Operational Risk Management – Audit & Assurance



VENDOR AUDIT & ASSURANCE PROGRAM

- Audit of Supplier governance
- Vendor Risk Identification & Analysis
- Vendor Performance Monitoring
- SIG Vendor Assessment
- FFIEC-3rd part management program
- MAS outsourcing guidance
- Cloud Provider Services – Security & Privacy Assurance

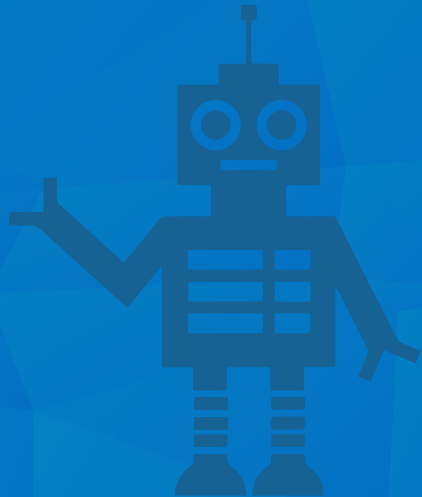
GENERAL IT CONTROLS

- System development lifecycle
- Program change control
- Security administration
- Service management
- Logical access
- Physical and environmental
- Computer operation
- System and data backups
- Business continuity and disaster recovery
- User access management

AUDIT & ASSURANCE PROGRAM AUTOMATION - GRC PLATFORM IMPLEMENTATION

HCL is premier implementation & support partner with leading GRC platforms like RSA-Archer, MetricStream & IBM OpenPages and has experience in implementation of their fully integrated audit management & compliance assurance solutions. These GRC platform solutions have integral documentation and reporting capabilities which can be tailored to suit the precise needs of an internal audit, investigations, compliance or other project oriented function.

Implementation of Audit & Compliance solution of these GRC platform covers automation of activities such as:



Strategic and annual planning



Activity monitoring and tracking



Resource management, scheduling and time recording



Working papers system with an extensive range of working paper types, review processes and automatic report production



Issues tracking system which gives you the option to share with business

WHY HCL?

The four quadrants of People, Process, Knowledge & Technology shown below are our value differentiators and make us a right partner for your organizations Audit & Assurance program



People

- Quality audit professionals with an impressive blend of technical and personal skills
- Focussed investment in continuous training and development



Process

- An audit methodology which is aligned to business goals
- Brings together Risk Based Internal Audit, Key Controls testing and Thematic Reviews
- Quality assurance built in to every stage



Knowledge

- Extensive Audit Resource Management System which keeps record of audit procedures for a wide range of environments



Technology

- Strong partnerships with some of the top GRC platform developers, whose risk and audit management software is used globally
- Automation of Audit & Assurance Program via Audit & Compliance solution of RSA-Archer, MetricStream or IBM OpenPages

CONTACT US



To learn more about how HCL GRC Consulting & platform solutions can help solve your Enterprise Audit, Risk & Compliance management issues and can assist your organization in the e-GRC journey write to CFS-GRC-PMG@hcl.com or visit

<http://www.hcltech.com/it-infrastructure-management/governance-risk-and-compliance-consulting>



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