Format of holding of specified securities

1. Name of Listed Entity : HCL Technologies Limited

2. Scrip Code/ Name of Scrip/ Class of Security : BSE: 532281, NSE: HCLTECH/ Equity Shares

3. Share Holding Pattern Filed under : Regulation 31(1)(b) for the quarter ended December 31, 2019

4: Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

| | Particulars Particulars | Yes * | No* |
|---|--|-------|-----------|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | - | $\sqrt{}$ |
| 2 | Whether the Listed Entity has issued any Convertible Securities or Warrants? | - | $\sqrt{}$ |
| 3 | Whether the Listed Entity has any shares against which depository receipts are issued? | - | $\sqrt{}$ |
| 4 | Whether the Listed Entity has any shares in locked-in? | - | $\sqrt{}$ |
| 5 | Whether any shares held by promoters are pledge or otherwise encumbered? | - | $\sqrt{}$ |

^{*} If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of sepcified securities is as follows:-

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | Nos. of shareholders (III) | No. of fully paid up equity shares held (IV) | No. of Partly paid-up equity shares held (V) | No. of shares underlying Depository Receipts (VI) | held (VII) = (IV)+(V)+ (VI) | a % of total no. of shares (calculated as per SCRR, 1957) (VIII) | Number of Voting | No. of Shares Underlying Outstanding convertible securities | Shareholding, as a % assuming full conversion of convertible securities (as a percentage of | Shar No. | cked in res (XII) | pled oth encu (No. | Iged or erwise mbered XIII) As a % of | Number of equity shares held in dematerialized form (XIV) | | | |
|-----------------|--------------------------------|----------------------------------|--|---|--|--------------------------------|--|------------------|--|---|-------------------|-----------------------------|---|---|---|-----|--------------------------------|---------------|
| | | | | | | | As a % of (A+B+C2) | Class eg: X | Class eg: Y | Total | a % of (A+B+C) | (including Warrants) (X) | diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | (a) | of total Shares held (b) | (a) | total Shares held (b) | |
| (A) | Promoter & Promoter Group | 7 | 1,627,635,324 | - | - | 1,627,635,324 | 59.98% | 1,627,635,324 | - | 1,627,635,324 | 59.98% | - | - | - | - | - | _ | 1,627,635,324 |
| (B) | Public | 182,606 | 1,086,029,772 | - | - | 1,086,029,772 | 40.02% | 1,086,029,772 | - | 1,086,029,772 | 40.02% | - | _ | | | | NA | 1,085,050,560 |
| (C) | Non Promoter- Non Public | - | - | - | - | - | | - | - | i | 0.00% | | - | - | | | NA | - |
| (C1) | Shares underlying DRs | - | - | - | - | - | NA | | - | - | 0.00% | - | - | - | - | | NA | - |
| (C2) | Shares held by Employee Trusts | - | - | - | - | - | - | - | - | - | 0.00% | - | - | - | - | | NA | - |
| | Total | 182,613 | 2,713,665,096 | - | - | 2,713,665,096 | 100.00% | 2,713,665,096 | - | 2,713,665,096 | 100.00% | - | - | - | - | | | 2,712,685,884 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| | Category & Name of the Shareholders (I) | PAN (II) | No. of shareholder (III) | No. of fully paid up equity shares held (IV) | Partly paid-up equity shares held (V) | Nos. of shares underlying Depository Receipts (VI) | Total nos. shares held (VII = IV+V+VI) | Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII) | | | eld in each class of (IX) ghts Total | Total as a % of Total . Voting rights | No. of Shares Underlying Outstanding convertible securities (including Warrants) (X) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of | Loc | As a % of total Shares held (b) | S pled oth | mber of hares dged or erwise nbered(XI As a % of total shares held (b) | Number of equity shares held in dematerialized form (XIV) |
|---------|---|-----------------|--------------------------------|--|--|---|--|---|---------------|---|--|---------------------------------------|--|--|-----|---------------------------------|------------------|---|---|
| (1) | Indian | | | | | | | | | | | | | A+B+C2 | | | | | |
| | Indian Individuals/Hindu undivided | | | | | | | | | | | | | | | | | | |
| (a) | Family | | 3 | 1,576 | - | - | 1,576 | 0.00% | 1,576 | - | 1,576 | 0.00% | - | 0.00% | - | - | - | - | 1,576 |
| | Mr. Shiv Nadar | ACIPN3308A | 1 | 736 | | - | 736 | 0.00% | 736 | - | 736 | 0.00% | - | 0.00% | - | - | - | - | 736 |
| | Ms. Kiran Nadar | ACPPN5724M | 1 | 144 | - | - | 144 | 0.00% | 144 | - | 144 | 0.00% | - | 0.00% | - | - | - | - | 144 |
| | Ms. Roshni Nadar Malhotra | ABRPN2760L | 1 | 696 | - | - | 696 | 0.00% | 696 | | 696 | 0.00% | - | 0.00% | - | - | - | - | 696 |
| (b) | Central Government/ State | | | | | | | 0.00% | | | | 0.00% | _ | 0.00% | | | | | _ |
| ('c) | Government(s) Financial Institutions/ Banks | | - | - | - | - | | 0.00% | - | - | - | 0.00% | - | 0.00% | - | - | - | - | |
| (d) | Any Other (specify) | | 3 | 1,180,971,716 | - : | - : | 1,180,971,716 | 43.52% | 1,180,971,716 | | 1,180,971,716 | 43.52% | - | 43.52% | - | | - | | 1,180,971,716 |
| (u) | Body Corporates | | 3 | 1,100,971,710 | | | 1,160,971,710 | 43.32 /6 | 1,100,971,710 | _ | 1,100,971,710 | 43.32 /0 | - | 43.32 /0 | _ | | - | - | 1,100,971,710 |
| (1) | HCL Corporation Pvt. Ltd. | AADCG2825M | 1 | 9.178.104 | | - | 9,178,104 | 0.34% | 9.178.104 | | 9.178.104 | 0.34% | _ | 0.34% | | | | | 9.178.104 |
| | Vama Sundari Investments | AADC02023M | 1 | 3,170,104 | | 1 | 9,170,104 | 0.3470 | 3,170,104 | | 3,170,104 | 0.3470 | | 0.5470 | | - | | - | 9,170,104 |
| | (Delhi) Pvt. Ltd. | AACCV8937E | 1 | 1,163,711,698 | - | - | 1,163,711,698 | 42.88% | 1,163,711,698 | - | 1,163,711,698 | 42.88% | - | 42.88% | - | - | - | - | 1,163,711,698 |
| | HCL Avitas Pvt. Ltd. | AAECB6723A | 1 | 8,081,914 | - | - | 8,081,914 | 0.30% | 8,081,914 | - | 8,081,914 | 0.30% | - | 0.30% | - | - | - | - | 8,081,914 |
| | Sub-Total (A)(1) | | 6 | 1,180,973,292 | - | - | 1,180,973,292 | 43.52% | 1,180,973,292 | - | 1,180,973,292 | 43.52% | - | 43.52% | - | - | - | - | 1,180,973,292 |
| (2) | Foreign | | | | | | | | | | | | | | | | | | |
| (a) | Individuals (Non-Resident | | | | | | | | | | | | | | | | | | |
| | Individuals/ Foreign | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (b) | Government | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| ('c) | Institutions | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (d) | Foreign Portfolio Investor | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (e) | Any Other (specify) | | 1 | 446,662,032 | - | - | 446,662,032 | 16.46% | 446,662,032 | - | 446,662,032 | 16.46% | - | 16.46% | - | - | - | - | 446,662,032 |
| (i) | Bodies Corporate | A A D GUITANG C | ļ | 446.600 | | ļ | 446.660 | 40.4 | 446.660 | | 446.660 | 40.000 | | 42 | ļ | | - | | *** *** |
| | HCL Holdings Private Ltd. | AABCH7320Q | 1 | 446,662,032 | - | - | 446,662,032 | 16.46% | 446,662,032 | - | 446,662,032 | 16.46% | - | 16.46% | - | - | - | - | 446,662,032 |
| | Sub-Total (A)(2) | | 1 | 446,662,032 | - | - | 446,662,032 | 16.46% | 446,662,032 | - | 446,662,032 | 16.46% | - | 16.46% | - | - | - | - | 446,662,032 |
| Total S | Shareholding of Promoter an | d | | | | | | | | | | | | | | | | | |
| | ter Group (A)= (A)(1)+(A)(2) | | 7 | 1,627,635,324 | - | - | 1,627,635,324 | 59.98% | 1,627,635,324 | - | 1,627,635,324 | 59.98% | - | 59.98% | - | - | - | - | 1,627,635,324 |

Table III - Statement showing shareholding pattern of the Public shareholder

| | Category & Name of the Shareholders (I) | PAN (II) | No. of shareholder (III) | No. of fully paid up equity shares2 held (IV) | Partly paid-up equity shares held (V) | Nos. of shares underlying Depository Receipts (VI) | Total nos. shares held (VII = IV+V+VI) | Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII) | | - | held in each class (IX) | | No. of Shares Underlying Outstanding convertible securities (including Warrants) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage | Number of Locked in shares (XII) | | Shares pledged or otherwise encumbered (XIII) | dematerialized form (XIV) | |
|------------|--|--------------------------|--------------------------------|--|--|---|--|---|---------------------------|----------|----------------------------|-----------------------|--|--|--|-----------------------|--|------------------------------|--|
| | | | | | | | | | No of | Voting F | Rights | Total as a % of Total | (X) | of diluted share capital) | No. (a) | As a % of total | No. As a % of total shares | | |
| | | | | | | | | | Class X | ClassY | Total | Voting rights | | (XI) = (VII)+(X) as a % of A+B+C2 | | Shares held (b) | held (b) | | |
| (1) | Institutions | | | | | | | | | | | | | | | | NA | | |
| (a) | Mutual Funds ICICI Prudential Mutual Fund | AAAAI0038F | 43 | | - | - | 150,934,231 30,936,588 | 5.56% 1.14% | 150,934,231 30,936,588 | - | 150,934,231 30,936,588 | 5.56% 1.14% | - | 5.56% 1.14% | - | - | NA NA | 150,932,331 30,936,588 | |
| | SBI Mutual Fund | AAAA10038F AABTS6407Q | 1 | | | | 40,426,629 | 1.14% | 40,426,629 | - | 40,426,629 | 1.14% | - | 1.14% | - | - | NA NA | 40,426,629 | |
| (b) | Venture Capital Funds | | _ | - | - | - | - | 0.00% | - | - | - | 0.00% | - | 0.00% | - | - | NA | - | |
| (c) | Alternate Investment Funds | | 12 | 1,100,571 | - | - | 1,100,571 | 0.04% | 1,100,571 | - | 1,100,571 | 0.04% | - | 0.04% | - | - | NA | 1,100,571 | |
| (d) | Foreign Venture Capital Investors | | - | - | - | _ | _ | 0.00% | _ | - | - | 0.00% | - | 0.00% | - | _ | NA | - | |
| (e) | Foreign Portfolio Investors | | 4.004 | 750 007 400 | | | 750 007 400 | | 750 007 400 | | 750 007 400 | | | | | | | 750 000 000 | |
| | Artisan International Value | AAFCA0790H | 1,001 | 750,687,488 | - | - | 750,687,488 | 27.66% | 750,687,488 | - | 750,687,488 | 27.66% | - | 27.66% | - | - | NA | 750,686,688 | |
| (6) | Fund Financial Institutions/ Banks | | 1 | 39,880,610 | - | - | 39,880,610 | 1.47% | 39,880,610 | - | 39,880,610 | 1.47% | - | 1.47% | - | - | NA | 39,880,610 | |
| (f) | | | 20 | 24,710,049 | - | - | 24,710,049 | 0.91% | 24,710,049 | - | 24,710,049 | 0.91% | - | 0.91% | - | - | NA | 24,708,809 | |
| (g) | Insurance Companies Provident Funds/ Pension | | 24 | 51,705,553 | - | - | 51,705,553 | 1.91% | 51,705,553 | - | 51,705,553 | 1.91% | - | 1.91% | - | - | NA | 51,705,553 | |
| (h) (i) | Funds Any Other (specify) | | - | - | - | - | - | 0.00% | - | - | - | 0.00% | - | 0.00% | - | - | NA | - | |
| | Foreign Banks | | 5 | 29,644 | - | - | 29,644 | 0.00% | 29,644 | - | 29,644 | 0.00% | - | 0.00% | - | - | NA | 29,600 | |
| | Sub-Total (B)(1) | | 1,105 | 979,167,536 | - | - | 979,167,536 | 36.08% | 979,167,536 | - | 979,167,536 | 36.08% | - | 36.08% | - | - | NA | 979,163,552 | |
| ('2) | Central Government/ State | | | | | | | | | | | | | | | | | | |
| | Government(s)/ President of India | | 2 | 964,594 | _ | _ | 964,594 | 0.04% | 964,594 | _ | 964,594 | 0.04% | _ | 0.04% | _ | _ | NA | 964,594 | |
| | or maid | | - | - | - | - | - | 0.0470 | - | - | - | 0.00% | - | 0.00% | - | - | NA NA | - | |
| | Sub-Total (B)(2) | | 2 | 964,594 | - | - | 964,594 | 0.04% | 964,594 | - | 964,594 | 0.04% | - | 0.04% | - | - | NA | 964,594 | |
| (3) | Non-institutions | | | | | | | | | | | | | | | | | | |
| | i. Individual shareholders holding nominal share capital | | | | | | | | | | | | | | | | | | |
| (a) | up to Rs. 2 lakhs | | 171,538 | 57,886,727 | - | - | 57,886,727 | 2.13% | 57,886,727 | - | 57,886,727 | 2.13% | - | 2.13% | - | - | NA | 56,940,985 | |
| | ii. Individual shareholders holding nominal share capital | | | | | | | | | | | | | | | | | | |
| (b) | in excess of Rs. 2 lakhs. NBFCs registered with RBI | | 46 12 | 7,953,949 390,620 | - | - | 7,953,949 390,620 | 0.29% 0.01% | 7,953,949 390,620 | - | 7,953,949 390,620 | 0.29% 0.01% | - | 0.29% 0.01% | - | - | NA NA | 7,953,421 390,620 | |
| | Employee Trusts | | - 12 | 390,620 | <u> </u> | - | 390,620 | 0.01% | 390,020 | | 390,620 | 0.00% | - | 0.01% | <u> </u> | | NA NA | 390,620 | |
| | Overseas Depositories (holding DRs) (balancing | | | | | | | 0.000/ | | | | 0.000/ | | 0.000/ | | | *** | | |
| (d) (e) | figure) Any Other (specify) | | - | - | - | - | <u>-</u> | 0.00% | <u>-</u> | - | - | 0.00% | - | 0.00% | - | - | NA | - | |
| (i) | IEPF | | 2 | 210,427 | - | - | 210,427 | 0.01% | 210,427 | - | 210,427 | 0.01% | - | 0.01% | - | - | NA | 210,427 | |
| | | | 1,028 | 14,234,673 | - | - | 14,234,673 | 0.52% | 14,234,673 | - | 14,234,673 | 0.52% | - | 0.52% | - | - | NA NA | 14,222,749 | |
| | Trusts Trust Employees | | 13 | | - | - | 10,457,428 1.078 | 0.39% | 10,457,428 1.078 | - | 10,457,428 1.078 | 0.39% | - | 0.39% | - | - | NA NA | 10,457,428 1,078 | |
| (v) | Foreign Nationals | | 12 | 149,458 | - | - | 149,458 | 0.01% | 149,458 | - | 149,458 | 0.01% | - | 0.01% | - | - | NA | 149,458 | |
| (vi) | | | 5,393 9 | 12,539,579 | - | - | 12,539,579 | 0.46% | 12,539,579 | - | 12,539,579 | 0.46% | - | 0.46% | - | - | NA NA | 12,523,425 | |
| | | | 243 | 35,368 1,102,803 | - | - | 35,368 1,102,803 | 0.00% 0.04% | 35,368 1,102,803 | - | 35,368 1,102,803 | 0.00% 0.04% | - | 0.00% 0.04% | - | - | NA NA | 34,488 1,102,803 | |
| | Hindu Undivided Families | | 3,200 | 935,532 | - | - | 935,532 | 0.03% | 935,532 | - | 935,532 | 0.03% | - | 0.03% | - | - | NA | 935,532 | |
| | Sub-Total (B)(3) | | 181,499 | 105,897,642 | - | - | 105,897,642 | 3.90% | 105,897,642 | - | 105,897,642 | 3.90% | - | 3.90% | - | - | NA | 104,922,414 | |
| | Total Public Shareholdir (B)= (B)(1)+(B)(2)+(B)(3 | | 182,606 | 1,086,029,772 | - | - | 1,086,029,772 | 40.02% | 1,086,029,772 | - | 1,086,029,772 | 40.02% | - | 40.02% | - | - | | 1,085,050,560 | |

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

| | Category & Name of the Shareholders (I) | PAN (II) | No. of shareholder (III) | No. of fully paid up equity shares held (IV) | Partly paid- up equity shares held (V) | shares | Total nos. shares held (VII = IV+V+VI) | Shareholdin g % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII) | No of | f Voting R class of s (IX Voting Rig ClassY | ecurities () ghts | | No. of Shares Underlying Outstanding convertible securities (including Warrants) (X) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2 | s | As a % of total Shares held (b) | pledged o | of Shares or otherwise pered(XIII) As a % of total shares held (b) | Number of equity shares held in dematerializ ed form (XIV) |
|------|--|-------------|--------------------------------|--|---|--------|---|---|-------|---|-------------------------|---|--|--|---|---------------------------------|-----------|---|--|
| ('1) | Custodian/DR Holder | | | | | | | | | | | | | | | | | VA. | |
| (a | Name of DR Holder (if available) | - | - | - | - | - | - | - | - | | | - | | - | | - | | VA | - |
| ('2) | Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014) | | | | | | | | | | | | | | | | | | |
| (a | Name of DR Holder (if available) | - | - | - | - | - | - | - | - | | | - | - | - | - | - | | V A | - |
| | Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2) | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | | | - |

Table V - Statement showing details of significant beneficial owners

| Sr. no. | Details of the sig | nificant beneficial | owner (I) | Details of the registered Own | Details of h owner in the | Date of creation/ acquisition of | | | | | | |
|---------|--------------------|---------------------|-------------|--|------------------------------|----------------------------------|--------|---|--|------------------------|-----------------------------------|---|
| | Name | PAN | Nationality | Name | PAN | Nationality | Shares | _ | Rights on distributable dividend or any other distribution | Exercise of Control | Exercise of significant influence | significant benefical interest (IV) |
| 1 | Mr. Shiv Nadar | ACIPN3308A | Indian | Vamasundari Investment (Delhi)Private Limited (Ref.note 1) | AACCV8937E | Indian Company | 42.88 | - | - | - | - | 22-Mar-13 |
| 2 | Mr. Shiv Nadar | ACIPN3308A | Indian | HCL Corporation Private Ltd (Ref. note 2) | AADCG2825M | Indian Company | 0.34 | - | - | - | - | 25-Mar-14 |
| 3 | Mr. Shiv Nadar | ACIPN3308A | Indian | HCL Avitas Private Limited (Ref. note 3) | AAECB6723A | Indian Company | 0.30 | - | - | - | - | 23-Mar-17 |

Notes:

- 1 Mr. Shiv Nadar (SBO ID-SB00038683) holds majority stake i.e. 56.95% in Vama Sundari Investments (Delhi) Private Limited. Accordingly his proportionate shareholding in HCL Technologies Limited ('Company') comes to 24.42%. The balance 43.05% share in Vama Sundari Investments (Delhi) Private Limited are held by other family members (Mrs. Kiran Nadar and Mrs. Roshni Nadar Malhotra). Accordingly, the aggregate shareholding of Mr. Shiv Nadar along with his family members in the company is 42.88%.
- 2 Mr. Shiv Nadar (SBO ID-SB00038683) holds majority stake i.e. 56.95% in HCL Corporation Private Limited. Accordingly his proportionate shareholding in HCL Technologies Limited ('Company') comes to 0.19%. The balance 43.05% share in HCL Corporation Private Limited are held by other family members (Mrs. Kiran Nadar and Mrs. Roshni Nadar Malhotra). Accordingly, the aggregate shareholding of Mr. Shiv Nadar along with his family members in the company is 0.34%.
- 3 HCL Healthcare Private Limited is owned by Slocum Healthcare Private Limited which is 100 % Owned by Vamasundari Investments (Delhi)Private Limited. Therefore, Ultimate Parent Company of HCL Healthcare Private Limited is Vamasundari Investments (Delhi) Private Limited. So, Vamasundari indirectly holds 92.7 % share in HCL Avitas Pvt Ltd. Mr. Shiv Nadar (SBO ID-SB00038683) aggregate shareholding along with his family members in HCL Avitas Private Limited is 0.30%.
- 4 HCL Holdings Private Limited holds 16.46% equity shares in HCL Technologies Limited. The shareholding in HCL Holdings Private Limited is held through Vama Sundari Trust. Since, it is a discretionary trust, interest of none of the beneficiaries has materialized or crystallized as on the date of this disclosure and, as a consequence, cannot be determined. The Settlor of this Trust is Mrs. Roshni Nadar Malhotra.