

# HCL TECHNOLOGIES **ASSET MANAGEMENT** CAPABILITIES

IMPLEMENTATION | INTEGRATION | CO-INNOVATION



The current market conditions and industry trends play an important role in defining the priorities and shaping the business models of the global financial services industry. While, agile digital-native enterprises are challenging the predominance of traditional players, innovative strategies that improve profitability without any cost impact are the need of the hour.

HCL aids organizations in adapting to the new scenario. Architected on the robust foundation of HCL Financial Services – one of our largest and fastest growing arms – we deliver enterprise excellence through deep domain knowledge and asset management capabilities across banking, insurance, and capital markets.

HCL capital markets practice leverages diverse experience – helping asset managers and reinforcing relationships with vendors and service providers.

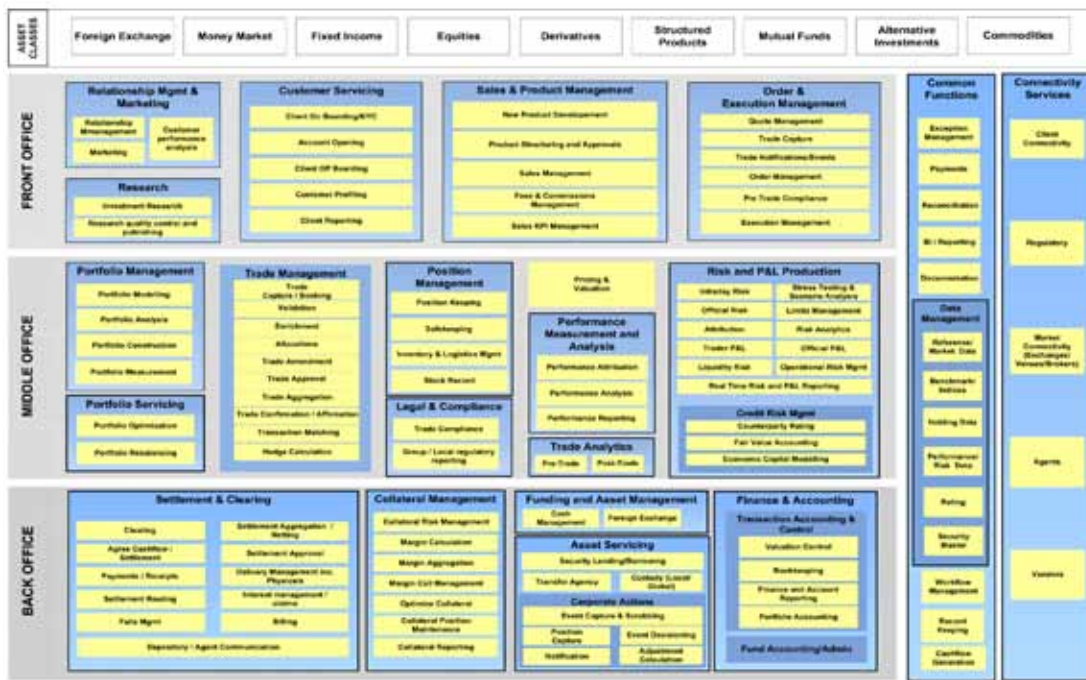
 <p>15+ years of practice</p>	 <p>1300+ FTEs 800+ Domain SMEs</p>	 <p>Domain-led IT solutions, bolstered by third-party product competency</p>
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	<b>Domain-led IT Strategy and Architecture Consulting</b>	<b>Application Build and Roll Out – Bespoke / COTS</b>	<b>Operational Excellence – Applications and Business Process</b>
<b>Transformational Value Propositions</b>	<ul style="list-style-type: none"> <li>• Business Process evaluation</li> <li>• Service Oriented Architecture based transformation</li> <li>• Business Architecture assessment</li> </ul>	<ul style="list-style-type: none"> <li>• Platform modernization</li> <li>• COTS Evaluation and implementation</li> <li>• Application portfolio optimization/ decommissioning</li> </ul>	<ul style="list-style-type: none"> <li>• Business aligned ASM - An alternative for Traditional production support, Business aligned ASM is aimed at meeting all IT performance targets at the same time ensuring user satisfaction and delight</li> <li>• Platform based Investment Accounting services</li> <li>• Process Automation and Standardization.</li> </ul>

<b>Partnerships</b>			
	<ul style="list-style-type: none"> <li>• SimCorp Dimension</li> <li>• Inves IT</li> <li>• Equipos</li> <li>• Charles River</li> <li>• TradeX</li> <li>• SS&amp; C</li> <li>• Eagle PACE</li> </ul>	<ul style="list-style-type: none"> <li>• Aladdin</li> <li>• AXYS</li> <li>• HCL Price</li> <li>• DST Global Solutions</li> <li>• Princeton Financial Systems</li> </ul>	<ul style="list-style-type: none"> <li>• Linedata</li> <li>• SAP FAM</li> <li>• Vermilion</li> <li>• StartStream</li> <li>• Multifonds</li> </ul>

Some key highlights of HCL Asset Management Practice

## HCL Asset Management Landscape



- Provides Truly Integrated Services - IT + Operations + Infrastructure + Asset Management System
- Experience across multiple geographies for leading Global Investment Managers, Custodians and Fund Managers
- Expertise across the Transformational Projects such as- Six Sigma, BPR, Legacy Modernization, Portfolio Optimization initiatives, IT / SOA transformation
- In-depth experience across Order and Trade Management, Portfolio Management Investment Accounting, Fund Administration
- Product Partnership with leading products vendors - Avaloq, SAP, Misys, Salesforce, MS dynamics, Pega etc
- IPs and Framework for various process including CRM, On-Boarding, Fee and Billing, Fund order management and Custodial systems

## Partnering in the success of clients

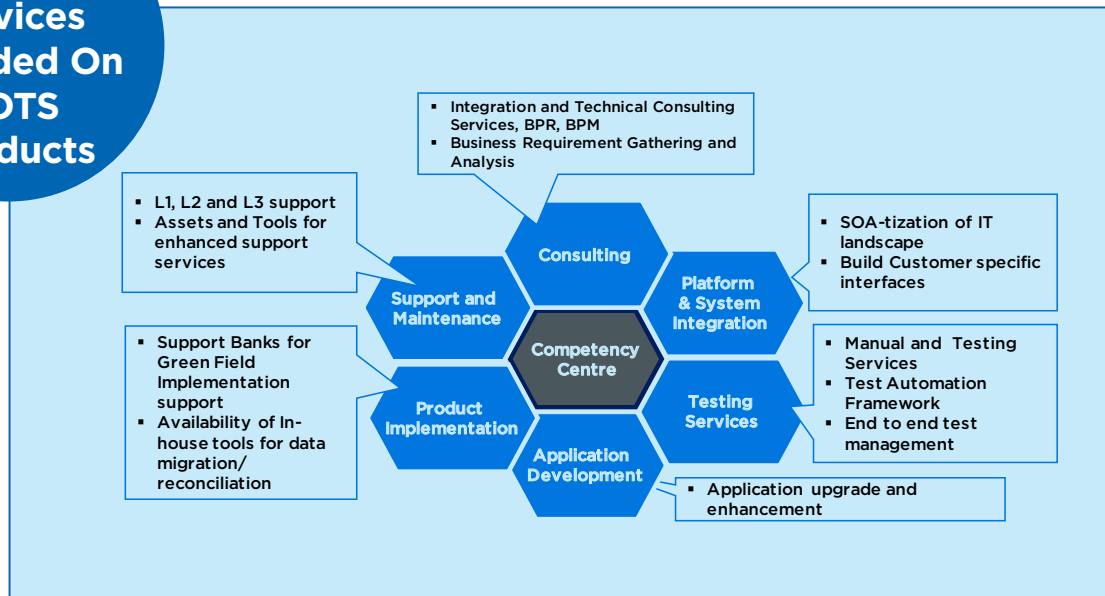
7+ years of transformational relationship with one of the Top 10 Global Asset Managers

5+ years of delivery excellence for securities and fund servicing arm of a Leading Universal Bank

8+ years of service delivery innovation and excellence for US-based leading fund house

- Implementation of various 3rd party products such as Simcorp Dimension, Eagle Pace, and CRTS and SOA-tization exercise in re-architecting interfaces, boosting digital asset management and decoupling systems for the Asset Management arm of a leading Universal Bank
- Responsible for building a roadmap, designing, Implementing and rolling out the SAP FAM solution (commonly termed SAP Treasury) to meet the client's investment accounting requirements.
- Creation of dedicated Co-Innovation Lab focused on Mobility and Social Analytics for a Leading Global Investment Bank and Leading US based bank.
- Transforming the IT systems of the Transfer Agency systems which was performed after an APO to assess the functional & technical landscape.
- Asset Monetization of applications for a leading global custodian in Custody and Security Services space and maintaining it. Implementation of one of the monetized systems in a large bank in Malaysia
- End to end product implementation and integration for OMS, Data Management and Investment Accounting and performance measurement product (CRTS, PAM and Eagle Performance)

## End To End Services Provided On COTS Products



## HCL's Capabilities across SIMCORP Dimension

### Highlights:

- More than 9+ years of experience in providing services on Implementation, Upgrade, Business User Support, Maintenance and support, Testing, Report development, and Migration.
- Experience across various instrument types and fund classes.
- More than 30 professionals trained and certified on Dimension by Simcorp Dimension Academy. These professionals are a combination of Business Analysts and Technical professionals.
- Experience across various modules of SCD with functionality – Portfolio Management, Investment Accounting, Performance, Reconciliation, General Ledger, Corporate Action, Compliance, Cash and Securities Manager.
- Geographical Presence – US, Europe (Germany & Denmark), Middle-East (Abu Dhabi), APAC (Singapore).

# Solution Catalogue



## Application Support & Maintenance Services

- Incident Management
- Problem Management
- MIS Reporting
- Interface Support
- 24x7 Support
- Fund Accounting Services
- Batch Job Monitoring
- System Administration

## Configuration Services

- Customization/Parameterization
- Interface & Report Development
- Upgrades & Patches
- Fund Migration

## Verification & validation Services

- Functional Testing
- System Testing
- Integration Testing
- Manual Testing

## Use case examples

Client	SCD service coverage			
	Implement	Upgrade	Testing	Maintenance and Support
Top Global Asset Manager	●	●	●	●
Asset Management Function of a leading Australian Bank	●	●	●	●
Leading Swiss Bank	●	●	●	●
Leading IM product vendor	●	●	●	●
Largest Bank in Middle East	●	●	●	●
Central bank and Financial Regulatory Authority of Singapore	●	●	●	●

● HCL Presence

● Limited Presence

# ABOUT HCL

Over the past decade, HCL has been one of the fastest growing technology companies not only in India but in the world. Today, it stands tall as a USD 7 billion global company, bringing IT and engineering services expertise to solve complex business problems for its clients through 'transformational outsourcing' and a strong focus on innovation and value-centricity. HCL leverages its extensive global offshore infrastructure and network of offices in 31 countries to offer an integrated portfolio of services including software-led IT solutions, remote infrastructure management, engineering and R&D services, asset management services and business services.

- AT **\$7.0 BILLION**
- WITH **110,000 EMPLOYEES**
- ACROSS **31 COUNTRIES**, WE GO WHEREVER OUR RELATIONSHIPS TAKE US





**Hello there! I am an Ideapreneur.** I believe that sustainable business outcomes are driven by relationships nurtured through values like trust, transparency and flexibility. I respect the contract, but believe in going beyond through collaboration, applied innovation and new generation partnership models that put your interest above everything else. Right now 115,000 Ideapreneurs are in a Relationship Beyond the Contract™ with 500 customers in 32 countries. **How can I help you?**

*Relationship*<sup>™</sup>  
BEYOND THE CONTRACT

**HCL**