

Format of holding of specified securities

1. Name of Listed Entity : **HCL Technologies Limited**
2. Scrip Code/ Name of Scrip/ Class of Security : **BSE: 532281, NSE: HCLTECH/ Equity Shares**
3. Share Holding Pattern Filed under : **Regulation 31(1)(b) for the quarter ended March 31, 2017**
- 4: **Declaration** : The Listed entity is required to submit the following declaration to the extent of submission of information:-

| | Particulars | Yes * | No* |
|---|--|--------------|------------|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | - | √ |
| 2 | Whether the Listed Entity has issued any Convertible Securities or Warrants? | - | √ |
| 3 | Whether the Listed Entity has any shares against which depository receipts are issued? | - | √ |
| 4 | Whether the Listed Entity has any shares in locked-in? | - | √ |
| 5 | Whether any shares held by promoters are pledge or otherwise encumbered? | - | √ |

** If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.*

5. The tabular format for disclosure of holding of sepcified securities is as follows:-

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | Nos. of shareholders (III) | No. of fully paid up equity shares held (IV) | No. of Partly paid-up equity shares held (V) | No. of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | No. of Shares Underlying Outstanding convertible securities (including Warrants) (X) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) | |
|--------------|--------------------------------|----------------------------|--|--|---|---|---|---|-------------|-----------------------|--|---|----------------------------------|----------|---|---------|---|---------------------------------|
| | | | | | | | | No of Voting Rights | | | | | Total as a % of (A+B+C) | No. (a) | As a % of total Shares held (b) | No. (a) | | As a % of total Shares held (b) |
| | | | | | | | | Class eg: X | Class eg: Y | Total | | | | | | | | |
| (A) | Promoter & Promoter Group | 9 | 85,15,69,308 | - | - | 85,15,69,308 | 59.68% | 85,15,69,308 | - | 85,15,69,308 | 59.68% | - | - | - | - | - | 85,15,69,308 | |
| (B) | Public | 1,74,672 | 57,52,14,116 | - | - | 57,52,14,116 | 40.32% | 57,52,14,116 | - | 57,52,14,116 | 40.32% | - | - | - | - | NA | 57,45,39,660 | |
| (C) | Non Promoter- Non Public | - | - | - | - | - | - | - | - | - | 0.00% | - | - | - | - | NA | - | |
| (C1) | Shares underlying DRs | - | - | - | - | - | NA | - | - | - | 0.00% | - | - | - | - | NA | - | |
| (C2) | Shares held by Employee Trusts | - | - | - | - | - | - | - | - | - | 0.00% | - | - | - | - | NA | - | |
| | Total | 1,74,681 | 1,42,67,83,424 | - | - | 1,42,67,83,424 | 100.00% | 1,42,67,83,424 | - | 1,42,67,83,424 | 100.00% | - | - | - | - | | 1,42,61,08,968 | |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| | Category & Name of the Shareholders (I) | PAN (II) | No. of shareholder (III) | No. of fully paid up equity shares held (IV) | Partly paid-up equity shares held (V) | Nos. of shares underlying Depository Receipts (VI) | Total nos. shares held (VII = IV+V+VI) | Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII) | Number of Voting Rights held in each class of securities (IX) | | | No. of Shares Underlying Outstanding convertible securities (including Warrants) (X) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2 | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered(XIII) | | Number of equity shares held in dematerialized form (XIV) | |
|---|---|------------|--------------------------|--|---------------------------------------|--|--|---|---|--------------|---------------------|--|---|-------------------------------------|---------|--|---------|---|---------------------------------|
| | | | | | | | | | No of Voting Rights | | | | | Total as a % of Total Voting rights | No. (a) | As a % of total Shares held (b) | No. (a) | | As a % of total shares held (b) |
| | | | | | | | | | Class eg. X | Class e.g. Y | Total | | | | | | | | |
| (1) | Indian | | | | | | | | | | | | | | | | | | |
| (a) | Individuals/Hindu undivided Family | | 3 | 788 | - | - | 788 | 0.00% | 788 | - | 788 | 0.00% | - | 0.00% | - | - | - | - | 788 |
| | Mr. Shiv Nadar | ACIPN3308A | 1 | 368 | - | - | 368 | 0.00% | 368 | - | 368 | 0.00% | - | 0.00% | - | - | - | - | 368 |
| | Ms. Kiran Nadar | ACPPN5724M | 1 | 72 | - | - | 72 | 0.00% | 72 | - | 72 | 0.00% | - | 0.00% | - | - | - | - | 72 |
| | Ms. Roshni Nadar Malhotra | ABRPN2760L | 1 | 348 | - | - | 348 | 0.00% | 348 | - | 348 | 0.00% | - | 0.00% | - | - | - | - | 348 |
| (b) | Central Government/ State Government(s) | | - | - | - | - | - | 0.00% | - | - | - | 0.00% | - | 0.00% | - | - | - | - | - |
| (c) | Financial Institutions/ Banks | | - | - | - | - | - | 0.00% | - | - | - | 0.00% | - | 0.00% | - | - | - | - | - |
| (d) | Any Other (specify) | | 5 | 61,24,70,704 | - | - | 61,24,70,704 | 42.93% | 61,24,70,704 | - | 61,24,70,704 | 42.93% | - | 42.93% | - | - | - | - | 61,24,70,704 |
| (i) | Body Corporates | | | | | | | | | | | | | | | | | | |
| | HCL Corporation Pvt. Ltd. | AADCG2825M | 1 | 1,23,73,680 | - | - | 1,23,73,680 | 0.87% | 1,23,73,680 | - | 1,23,73,680 | 0.87% | - | 0.87% | - | - | - | - | 1,23,73,680 |
| | Vama Sundari Investments (Delhi) Pvt. Ltd. | AACCV8937E | 1 | 58,33,47,024 | - | - | 58,33,47,024 | 40.89% | 58,33,47,024 | - | 58,33,47,024 | 40.89% | - | 40.89% | - | - | - | - | 58,33,47,024 |
| | HCL Avitas Pvt. Ltd. | AAECB6723A | 1 | 75,00,000 | - | - | 75,00,000 | 0.53% | 75,00,000 | - | 75,00,000 | 0.53% | - | 0.53% | - | - | - | - | 75,00,000 |
| (ii) | Trust | | | | | | | | | | | | | | | | | | |
| | Shiv Nadar Foundation* | AAHTS6814P | 1 | 52,00,000 | - | - | 52,00,000 | 0.36% | 52,00,000 | - | 52,00,000 | 0.36% | - | 0.36% | - | - | - | - | 52,00,000 |
| | Kiran Nadar Museum of Art * | AABTK5896P | 1 | 40,50,000 | - | - | 40,50,000 | 0.28% | 40,50,000 | - | 40,50,000 | 0.28% | - | 0.28% | - | - | - | - | 40,50,000 |
| | Sub-Total (A)(1) | | 8 | 61,24,71,492 | - | - | 61,24,71,492 | 42.93% | 61,24,71,492 | - | 61,24,71,492 | 42.93% | - | 42.93% | - | - | - | - | 61,24,71,492 |
| (2) | Foreign | | | | | | | | | | | | | | | | | | |
| (a) | Individuals (Non-Resident Individuals/ Foreign | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (b) | Government | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (c) | Institutions | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (d) | Foreign Portfolio Investor | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (e) | Any Other (specify) | | 1 | 23,90,97,816 | - | - | 23,90,97,816 | 16.76% | 23,90,97,816 | - | 23,90,97,816 | 16.76% | - | 16.76% | - | - | - | - | 23,90,97,816 |
| (f) | Bodies Corporate | | | | | | | | | | | | | | | | | | |
| | HCL Holdings Private Ltd. | AABCH7320Q | 1 | 23,90,97,816 | - | - | 23,90,97,816 | 16.76% | 23,90,97,816 | - | 23,90,97,816 | 16.76% | - | 16.76% | - | - | - | - | 23,90,97,816 |
| | Sub-Total (A)(2) | | 1 | 23,90,97,816 | - | - | 23,90,97,816 | 16.76% | 23,90,97,816 | - | 23,90,97,816 | 16.76% | - | 16.76% | - | - | - | - | 23,90,97,816 |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | | 9 | 85,15,69,308 | - | - | 85,15,69,308 | 59.68% | 85,15,69,308 | - | 85,15,69,308 | 59.68% | - | 59.68% | - | - | - | - | 85,15,69,308 |

* Mr. Shiv Nadar and/or his family members do not have any beneficial ownership of shares held by the Trusts.

Table III - Statement showing shareholding pattern of the Public shareholder

| | Category & Name of the Shareholders (I) | PAN (II) | No. of shareholder (III) | No. of fully paid up equity shares held (IV) | Partly paid-up equity shares held (V) | Nos. of shares underlying Depository Receipts (VI) | Total nos. shares held (VII = IV+V+VI) | Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII) | Number of Voting Rights held in each class of securities (IX) | | | No. of Shares Underlying Outstanding convertible securities (including Warrants) (X) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2 | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) | |
|------------|---|------------|--------------------------|--|---------------------------------------|--|--|---|---|----------|---------------------|--|--|-------------------------------------|----------|---|-----------|---|---------------------------------|
| | | | | | | | | | No of Voting Rights | | | | | Total as a % of Total Voting rights | No. (a) | As a % of total Shares held (b) | No. (a) | | As a % of total shares held (b) |
| | | | | | | | | | Class X | Class Y | Total | | | | | | | | |
| (1) | Institutions | | | | | | | | | | | | | | | | | | |
| (a) | Mutual Funds | | 343 | 8,17,04,384 | - | - | 8,17,04,384 | 5.73% | 8,17,04,384 | - | 8,17,04,384 | 5.73% | - | 5.73% | - | - | NA | | 8,17,02,220 |
| (b) | Venture Capital Funds | | - | - | - | - | - | 0.00% | - | - | - | 0.00% | - | 0.00% | - | - | NA | | - |
| (c) | Alternate Investment Funds | | 3 | 4,55,988 | - | - | 4,55,988 | 0.03% | 4,55,988 | - | 4,55,988 | 0.03% | - | 0.03% | - | - | NA | | 4,55,988 |
| (d) | Foreign Venture Capital Investors | | - | - | - | - | - | 0.00% | - | - | - | 0.00% | - | 0.00% | - | - | NA | | - |
| (e) | Foreign Portfolio Investors | | 962 | 35,04,72,312 | - | - | 35,04,72,312 | 24.56% | 35,04,72,312 | - | 35,04,72,312 | 24.56% | - | 24.56% | - | - | NA | | 35,04,71,512 |
| | Artisan International Value Fund | AAFA0790H | 1 | 1,57,49,743 | - | - | 1,57,49,743 | 1.10% | 1,57,49,743 | - | 1,57,49,743 | 1.10% | - | 1.10% | - | - | NA | | 1,57,49,743 |
| (f) | Financial Institutions/ Banks | | 33 | 14,41,407 | - | - | 14,41,407 | 0.10% | 14,41,407 | - | 14,41,407 | 0.10% | - | 0.10% | - | - | NA | | 14,40,611 |
| (g) | Insurance Companies | | 33 | 2,67,72,988 | - | - | 2,67,72,988 | 1.88% | 2,67,72,988 | - | 2,67,72,988 | 1.88% | - | 1.88% | - | - | NA | | 2,67,72,988 |
| | Life Insurance Corporation of India | AAACL058ZH | 1 | 1,93,52,200 | - | - | 1,93,52,200 | 1.36% | 1,93,52,200 | - | 1,93,52,200 | 1.36% | - | 1.36% | - | - | NA | | 1,93,52,200 |
| (h) | Provident Funds/ Pension Funds | | - | - | - | - | - | 0.00% | - | - | - | 0.00% | - | 0.00% | - | - | NA | | - |
| (i) | Any Other (specify) | | | | | | | | | | | | | | | | | | |
| (i) | Foreign Banks | | 3 | 1,200 | - | - | 1,200 | 0.00% | 1,200 | - | 1,200 | 0.00% | - | 0.00% | - | - | NA | | 1,200 |
| | Sub-Total (B)(1) | | 1,377 | 46,08,48,279 | - | - | 46,08,48,279 | 32.30% | 46,08,48,279 | - | 46,08,48,279 | 32.30% | - | 32.30% | - | - | NA | | 46,08,44,519 |
| (2) | Central Government/ State Government(s)/ President of India | | | | | | | | | | | | | | | | | | |
| | | | - | - | - | - | - | 0.00% | - | - | - | 0.00% | - | 0.00% | - | - | NA | | - |
| | Sub-Total (B)(2) | | - | - | - | - | - | 0.00% | - | - | - | 0.00% | - | 0.00% | - | - | NA | | - |
| (3) | Non-institutions | | | | | | | | | | | | | | | | | | |
| | Individuals - | | | | | | | | | | | | | | | | | | |
| (a) | i. Individual shareholders holding nominal share capital | | 1,63,317 | 3,73,76,029 | - | - | 3,73,76,029 | 2.62% | 3,73,76,029 | - | 3,73,76,029 | 2.62% | - | 2.62% | - | - | NA | | 3,67,23,259 |
| | ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | | 18 | 56,13,429 | - | - | 56,13,429 | 0.39% | 56,13,429 | - | 56,13,429 | 0.39% | - | 0.39% | - | - | NA | | 56,13,429 |
| (b) | NBFCs registered with RBI | | 17 | 1,33,540 | - | - | 1,33,540 | 0.01% | 1,33,540 | - | 1,33,540 | 0.01% | - | 0.01% | - | - | NA | | 1,33,540 |
| (c) | Employee Trusts | | - | - | - | - | - | 0.00% | - | - | - | 0.00% | - | 0.00% | - | - | NA | | - |
| (d) | Overseas Depositories (holding DRs) (balancing figure) | | - | - | - | - | - | 0.00% | - | - | - | 0.00% | - | 0.00% | - | - | NA | | - |
| (e) | Any Other (specify) | | | | | | | | | | | | | | | | | | |
| (i) | Bodies Corporate | | 1,532 | 5,73,77,356 | - | - | 5,73,77,356 | 4.02% | 5,73,77,356 | - | 5,73,77,356 | 4.02% | - | 4.02% | - | - | NA | | 5,73,69,550 |
| | ICICI Prudential Life Insurance Company Ltd | AAACI7351P | 1 | 1,97,35,205 | - | - | 1,97,35,205 | 1.38% | 1,97,35,205 | - | 1,97,35,205 | 1.38% | - | 1.38% | - | - | NA | | 1,97,35,205 |
| (ii) | Trusts | | 54 | 43,39,481 | - | - | 43,39,481 | 0.30% | 43,39,481 | - | 43,39,481 | 0.30% | - | 0.30% | - | - | NA | | 43,39,481 |
| (iii) | Foreign Nationals | | 14 | 75,479 | - | - | 75,479 | 0.01% | 75,479 | - | 75,479 | 0.01% | - | 0.01% | - | - | NA | | 75,479 |
| (iv) | Non-Resident Indians | | 4,881 | 68,49,914 | - | - | 68,49,914 | 0.48% | 68,49,914 | - | 68,49,914 | 0.48% | - | 0.48% | - | - | NA | | 68,40,674 |
| (v) | Overseas Corporate Bodies | | 9 | 18,124 | - | - | 18,124 | 0.00% | 18,124 | - | 18,124 | 0.00% | - | 0.00% | - | - | NA | | 17,244 |
| (vi) | Clearing Members | | 692 | 20,01,031 | - | - | 20,01,031 | 0.14% | 20,01,031 | - | 20,01,031 | 0.14% | - | 0.14% | - | - | NA | | 20,01,031 |
| (vii) | Hindu Undivided Families | | 2,761 | 5,81,454 | - | - | 5,81,454 | 0.04% | 5,81,454 | - | 5,81,454 | 0.04% | - | 0.04% | - | - | NA | | 5,81,454 |
| | Sub-Total (B)(3) | | 1,73,295 | 11,43,65,837 | - | - | 11,43,65,837 | 8.02% | 11,43,65,837 | - | 11,43,65,837 | 8.02% | - | 8.02% | - | - | NA | | 11,36,95,141 |
| | Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3) | | 1,74,672 | 57,52,14,116 | - | - | 57,52,14,116 | 40.32% | 57,52,14,116 | - | 57,52,14,116 | 40.32% | - | 40.32% | - | - | NA | | 57,45,39,660 |

